**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Title:

"System and Method for Supporting Transaction and Parallel

Services in a Clustered System Based on a Service Level

Agreement"

Applicants: Daniel Dias et al.

Attorney Docket No.: SVL920030091US1

Serial No.:

10/

**Examiner:** 

Filed:

January 22, 2004

Art Unit:

Mail Stop: Patent Application Assistant Commissioner for Patents P.O.Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT
WITHIN THREE MONTHS OF FILING OR BEFORE
MAILING OF FIRST OFFICE ACTION (37 CFR 1.97(b))

Sir:

Applicants submit herewith Form PTO-1449A listing documents believed relevant to the subject application. It is respectfully requested that these documents be made of record and an initialed copy of Form PTO-1449A be returned to the undersigned.

This Disclosure Submission Statement should not to be construed as a representation that a search has been made, that additional material to the examination of this application does not exist, or that the citations constitute prior art.

It is believed that this Disclosure Submission Statement complies with the requirements of 37 CFR 1.56, 1.97, and 1.98, and the Manual of Patent Examining Procedures, Sections 609 and 707.05. If for any reason the Examiner considers otherwise, it is respectfully requested that the undersigned be called so that any deficiencies can be remedied.

A copy of each cited non-U.S. patent/application, if any, is enclosed unless indicated otherwise. Some of the documents may have markings on them. No significance is meant to be attached to the markings.

Respectfully submitted,

Date: January 22, 2004

Samuel A. Kassatly Law Office 6819 Trinidad Drive San Jose, California 95120 Tel. (408) 323-5111

Fax: (408) 323-5112

Samuel A. Kassatly Attorney for Applicants Reg. No. 32,247

## **U.S. PATENT DOCUMENTS**

January 22, 2004

Examiner Initials	Document No.	Date (MM.DD.YY)	Name	Class / Subclass	Filing Date
	5,799,173	08.25.1998	Gossler et al.	395/500	05.21.1997
	5,504,894	04.02.1996	Ferguson et al.	395/650	04.30.1992
	6,459,682 B1	10.01.2002	Ellesson et al.	370/235	04.07.1998
	2002/00119873 A1	02.14.2002	Goldszmidt et al.	709/228	01.26.2001
	2002/0124103 A1	09.05.2002	Maruyama et al.	709/234	02.20.2001
	2002/0133593 A1	09.19.2002	Johnson et al.	709/226	03.01.2001

## **PUBLICATIONS / OTHER ART**

Examiner Initials	Citation				
	Tarek F. Abdelzaher, "An Automated Profiling Subsystem for QoS-Aware Services," IEEE, 2000.				
	Anita Mittal et al., "Integrated Dynamic of Hard and QoS Degradable Real-Time Tasks in Multiprocessor Systems," Journal of Systems Architecture, vol.46, no.9, pp.793-807, July 2000.				

**Examiner** 

Dat Consid r d

Examiner: Initial if r f rence considered, wheth r or not citation is in conformance with MPEP 609. Draw lin through citation if not in conformance and not consider d. Includ copy of this form with next communication to applicant(s).